

09-68-00

A

Customer No. 20350  
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

Attorney Docket No. 80966  
Client Ref No. P14185-A  
"Express Mail" Label No. EL630584806US  
Date of Deposit: September 6, 2000

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

09/06/00  
Jc488 U.S. PTO

Jc564 U.S. PTO  
09/06/00  
09/655665

Submitted herewith for filing under 37 CFR 1.53(b) is the  
[ X ] patent application of  
[ ] continuation patent application of  
[ ] divisional patent application of  
[ ] continuation-in-part patent application of  
Inventor(s)/Applicant Identifier: TOSHIHIRO SASAI

For: IMAGE PROCESSING APPARATUS

[ X ] This application claims priority from each of the following Application Nos./filing dates:  
Japanese Application No. 256928 Filed September 10, 1999  
the disclosure(s) of which is (are) incorporated by reference.  
[ ] Please amend this application by adding the following before the first sentence: "This application is a [ ] continuation [ ]  
continuation-in-part of and claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_, the  
disclosure of which is incorporated by reference."

Enclosed are:

- [ X ] 36 page(s) of specification
- [ X ] 7 page(s) of claims
- [ X ] 1 page of Abstract
- [ X ] 12 sheet(s) of formal drawing(s).
- [ X ] Recordation Form Cover Sheet.
- [ X ] An assignment of the invention to NuCORE Technology Inc.
- [ X ] A signed Declaration & Power of Attorney
- [ X ] A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 [ ] is enclosed [ ] was filed in the prior  
application and small entity status is still proper and desired.
- [ X ] Information Disclosure Statement under 37 CFR 1.97.
- [ X ] Form PTO-1449 and two (2) references.
- [ ] A certified copy of a \_\_\_\_\_ application.

	(Col. 1)	(Col. 2)	
FOR:	NO. FILED	NO. EXTRA	
BASIC FEE			
TOTAL CLAIMS	11 - 20	= *0	
INDEP. CLAIMS	1 - 3	= *0	
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED			

\* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.

SMALL ENTITY		
RATE	FEE	
	\$345.00	
x \$9.00 =	\$0.00	
x \$39.00 =	\$0.00	
+ \$130.00 =		
TOTAL	\$345.00	

OTHER THAN SMALL ENTITY		
RATE	FEE	
	\$690.00	
x \$18.00 =		
x \$78.00 =		
+ \$260.00 =		
TOTAL		

Please charge Deposit Account No. 20-1430 as follows:

- [ X ] Filing fee \$ 345.00
- [ X ] Any additional fees associated with this paper or during the pendency of this application.
- [ ] The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b)
- [ ] A check for \$ \_\_\_\_\_ is enclosed.
- 2 extra copies of this sheet are enclosed.

Respectfully submitted,  
TOWNSEND and TOWNSEND and CREW LLP

*Kenneth R. Allen*

Kenneth R. Allen  
Reg No.: 27,301  
Attorneys for Applicant

Telephone: (650) 326-2400  
Facsimile: (650) 326-2422

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(e)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Toshihiro Sasai  
Application or Patent No.: \_\_\_\_\_  
Filed or Issued: Herewith  
Title: Image Processing Apparatus

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

Name of Small Business Concern: NuCORE Technology Inc.  
Address of Small Business Concern: 2880 Bowers Avenue  
Santa Clara, California 95051

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Image Processing Apparatus

by inventor(s) Toshihiro Sasai  
as described in:

- ☒ the specification filed herewith;  
☐ Application No. \_\_\_\_\_, filed \_\_\_\_\_;  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Tohru Nishikawa  
Title of Person if Other than Owner: Vice President Business Development  
Address of Person Signing: 2880 Bowers Avenue  
Santa Clara, California 95051

Signature: [Signature] Date: August 28, 2000